

**Risk Matrix**

**Appendix H**

		<b>RISK RATING</b>				
		<b>Medium</b> 5	<b>Significant</b> 10	<b>High</b> 15	<b>High</b> 20	<b>High</b> 25
<b>IMPACT</b>	<b>Catastrophic</b> 5	<b>Medium</b> 5	<b>Significant</b> 10	<b>High</b> 15	<b>High</b> 20	<b>High</b> 25
	<b>Major</b> 4	<b>Low</b> 4	<b>Medium</b> 8	<b>Significant</b> 12	<b>High</b> 16	<b>High</b> 20
	<b>Moderate</b> 3	<b>Low</b> 3	<b>Medium</b> 6	<b>Medium</b> 9	<b>Significant</b> 12	<b>High</b> 16
	<b>Minor</b> 2	<b>Low</b> 2	<b>Low</b> 4	<b>Medium</b> 6	<b>Medium</b> 8	<b>Significant</b> 10
	<b>Insignificant</b> 1	<b>Low</b> 1	<b>Low</b> 2	<b>Low</b> 3	<b>Low</b> 4	<b>Medium</b> 5
		<b>Remote</b> 10 yearly 1	<b>Unlikely</b> 3 yearly 2	<b>Likely</b> Annually 3	<b>Highly Likely</b> Quarterly 4	<b>Almost Certain</b> Monthly 5
		<b>LIKELIHOOD</b>				

<b>RISK RATING</b>		<b>SCORE</b>
<b>HIGH</b>		15 to 25
<b>SIGNIFICANT</b>		10 to 12
<b>MEDIUM</b>		5 to 9
<b>LOW</b>		1 to 4

**Recommended actions (with an overall aim of reducing the net risk rating):**

- High:** Review controls and actions every month
- Significant:** Review controls and actions every 3 months
- Medium:** Review controls and actions every 6 months
- Low:** Review controls and actions at least annually

## Risk Impact guidelines

## APPENDIX H

Score / Risk Examples	Compliance and Regulations	Financial	Service Delivery	Reputation	Health and Safety
<b>Insignificant 1</b>	Minor breach of internal regulations, not reportable	Less than £50,000	Disruption to one service for a period of 1 week or less	Complaints from individuals / small groups of residents  Low local coverage	Minor incident resulting in little harm
<b>Minor 2</b>	Minor breach of external regulations, not reportable	Between £50,000 and £100,000	Disruption to one service for a period of 2 weeks	Complaints from local stakeholders  Adverse local media coverage	Minor Injury to Council employee or someone in the Council's care
<b>Moderate 3</b>	Breach of internal regulations leading to disciplinary action  Breach of external regulations, reportable	Between £100,000 and £1,000,000	Loss of one service for between 2-4 weeks	Broader based general dissatisfaction with the running of the council  Adverse national media coverage	Serious Injury to Council employee or someone in the Council's care
<b>Major 4</b>	Significant breach of external regulations leading to intervention or sanctions	Between £1,000,000 and £5,000,000	Loss of one or more services for a period of 1 month or more	Significant adverse national media coverage  Resignation of Director(s)	Fatality to Council employee or someone in the Council's care
<b>Catastrophic 5</b>	Major breach leading to suspension or discontinuation of business and services	More than £5,000,000	Permanent cessation of service(s)	Persistent adverse national media coverage  Resignation / removal of CEX / elected Member	Multiple fatalities to Council employees or individuals in the Council's care

**Risk Likelihood guidelines****Appendix H**

<b>SCORE</b>	<b>DESCRIPTION</b>	<b>EXPECTED FREQUENCY</b>
<b>1</b>	<ul style="list-style-type: none"><li>• Remote</li></ul>	<ul style="list-style-type: none"><li>• 10-yearly</li></ul>
<b>2</b>	<ul style="list-style-type: none"><li>• Unlikely</li></ul>	<ul style="list-style-type: none"><li>• 3-yearly</li></ul>
<b>3</b>	<ul style="list-style-type: none"><li>• Likely</li></ul>	<ul style="list-style-type: none"><li>• Annually</li></ul>
<b>4</b>	<ul style="list-style-type: none"><li>• Highly Likely</li></ul>	<ul style="list-style-type: none"><li>• Quarterly</li></ul>
<b>5</b>	<ul style="list-style-type: none"><li>• Almost Certain</li></ul>	<ul style="list-style-type: none"><li>• Monthly</li></ul>